

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR: Densen Cao
DOCKET: 5045.5 P
TITLE: METHOD FOR MAKING A SEMICONDUCTOR LIGHT SOURCE
FILED: August 24, 2001
SERIAL NO.: 09/938,775
ASSIGNEE: Cao Group, Inc.

Assistant Commissioner for Patents
Washington, DC 20231

Certificate of Mailing

Honorable Assistant Commissioner:

I hereby certify that the attached Information Disclosure Statement is being submitted via first class mail with the United States Postal Service in an envelope with sufficient postage addressed to "Assistant Commissioner for Patents, Washington, DC 20231" on February 18, 2003.

A handwritten signature in black ink, appearing to read "Daniel P. McCarthy".

Daniel P. McCarthy
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Information Disclosure Statement

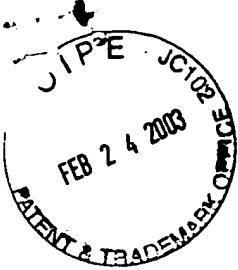
Honorable Assistant Commissioner:

Attached please find form PTO-1449 and cited references. These references were learned of recently due to office actions in other cases. If any fee is due, please charge the fee to deposit account no. 50-0581.

Respectfully submitted this 18th day of February, 2003.

A handwritten signature in black ink, appearing to read "Daniel P. McCarthy".

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I hereby certify that the attached Statement of Co-Pending Cases is being submitted via first class mail with the United States Postal Service in an envelope with sufficient postage addressed to "Assistant Commissioner for Patents, Washington, DC 20231" on February 18, 2003.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

7/IDS
P.Wallace
5-12-03

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Statement of Co-Pending Cases

Honorable Assistant Commissioner:

The following cases relating to semiconductor light sources for illuminating physical spaces are or have been co-pending with the present case:

U.S. Patent Application Serial No. 09/938,777
U.S. Patent Application Serial No. 09/939,488
U.S. Patent Application Serial No. 09/939,339
U.S. Patent Application Serial No. 09/938,876
U.S. Patent Application Serial No. 09/939,340, now U.S. Patent No. 6,465,961

Respectfully submitted on February 18, 2003.

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